

9nY DfYgYfj YX!

Assigned INCI Designation: Polyaminopropyl Biguanide

Product Information

9nY DfYgYfj YX! is a broad spectrum, fast acting bactericide. A 20% aqueous solution of poly(hexamethylenebiguanide) hydrochloride, also known as PHMB, 9nY DfYgYfj YX! is an effective preservative for make-up removers, moisturizing toners, facial cleansers, wet wipes and also offers antibacterial and deodorant properties.

Microbiological Activity Data

The superior antibacterial activity of 9nY DfYgYfj YX! , reported as MIC (Minimum Inhibitory Concentration (ppm)) for the PHMB active agent, is shown in Table 1.

Table 1. Antibacterial Activity of 9nY DfYgYfj YX!

| Minimum Inhibitory Concentrations (MICs) | | | | | |
|--|------------|----------------------------------|------------------------------------|------------|----------------------------------|
| Micro-organism | Strain No. | 9nY DfYgYfj YX! (ppm product) | Micro-organism | Strain No. | 9nY DfYgYfj YX! (ppm product) |
| Bacteria | | | Fungi | | |
| <i>Bacillus subtilis</i> | NCIB 3610 | 5 | <i>Aspergillus niger</i> | - | 750 |
| <i>Enterobacter cloacae</i> | NCIB 8271 | 40 | <i>Trichophyton mentagrophytes</i> | - | 25 |
| <i>Proteus vulgaris</i> | NCTC 4175 | 40 | | | |
| <i>Pseudomonas aeruginosa</i> | ATCC 15442 | 40 | Yeasts | | |
| <i>Pseudomonas putida</i> | - | 25 | <i>Candida albicans</i> | ATCC 10231 | 300 |
| <i>Salmonella choleraesuis</i> | ATCC 13311 | 120 | <i>Rhodotorula rubra</i> | NCYC 1659 | 7.5 |
| <i>Salmonella typhimurium</i> | ATCC 14028 | 160 | <i>Saccharomyces cerevisiae</i> | ATCC 9763 | 300 |
| <i>Staphylococcus aureus</i> | ATCC 6538 | 30 | | | |
| <i>Enterococcus faecalis</i> | - | 5 | | | |
| <i>Streptococcus lactis</i> | NCTC 7944 | 20 | | | |

Microbiological Challenge Studies

Studies were run on three formulas using a 1.5% concentration of 9nY DfYgYfj YX! . The protocol used was a Cosmetic, Toiletry and Fragrance Association (CTFA) Challenge test. All samples were inoculated at the beginning of the study, sampled at 24 hours and weekly for 4 weeks. Samples inoculated with mold spores were generally plated one additional time after 48 hours. Four weeks after the initial challenge, samples were challenged again and the same sampling regime followed.

Key Product Attributes

- a fast-acting broad spectrum anti-microbial
- effective non-formaldehyde, non-paraben preservation
- gentle in-use
- water-soluble
- heat and UV stable

11/28/05 v1.6

Make-Up Remover

Base Formulation

| Ingredient | % |
|------------------|-------------|
| Deionized Water | q.s. to 100 |
| Propylene Glycol | 2.00 |
| Glycerin | 2.00 |
| Plantaren | 4.00 |
| PEG-8 | 2.00 |

Test Results

| Test Organism | Unpreserved Control | | | Test-9mY'DfYgYfj YX! | | |
|---|---------------------|-------------------|-------------------|----------------------|---------|--------------|
| | Initial Challenge | | Re-Challenge | Initial Challenge | | Re-Challenge |
| | 24 hrs. | 28 days | 28 days | 24 hrs. | 28 days | 28 days |
| <i>S. aureus</i> | * | * | ND | <10 | <10 | <10 |
| <i>P. aeruginosa</i> | * | * | ND | <10 | <10 | <10 |
| <i>K. pneumoniae</i> | * | * | ND | <10 | <10 | <10 |
| <i>C. albicans</i> | * | 2.7×10^3 | 1.8×10^3 | <10 | <10 | <10 |
| <i>A. niger</i> + <i>Penicillium sp.</i> | 2.7×10^4 | 1.7×10^2 | 1.2×10^4 | 2.0×10^1 | <10 | <10 |

* Unpreserved formulation highly contaminated prior to start of test ($>10^6$) so unable to count the challenge organisms, however, addition of 9mY'DfYgYfj YX! killed this contamination and the challenge organisms.

ND = Not determined

Mascara

Base Formulation

| Ingredient | % |
|--------------|-------------|
| Water | q.s. to 100 |
| Jaguar C | 1.00 |
| Glycerin | 2.00 |
| Honeyquat 50 | 1.00 |
| Musol 20 | 1.00 |
| Brookosome P | 2.00 |

Test Results

| Test Organism | Unpreserved Control | | | Test-9mY'DfYgYfj YX! (1.5%) | | |
|---|---------------------|-------------------|-------------------|-----------------------------|---------|--------------|
| | Initial Challenge | | Re-Challenge | Initial Challenge | | Re-Challenge |
| | 24 hrs. | 28 days | 28 days | 24 hrs. | 28 days | 28 days |
| <i>S. aureus</i> | 2.0×10^6 | 5.4×10^6 | 7.8×10^5 | <10 | <10 | <10 |
| <i>P. aeruginosa</i> | 2.7×10^5 | $>10^7$ | $>10^8$ | <10 | <10 | <10 |
| <i>K. pneumoniae</i> | 7.9×10^5 | 3.7×10^5 | 3.4×10^6 | <10 | <10 | <10 |
| <i>C. albicans</i> | 2.9×10^5 | 8.5×10^7 | 8.5×10^7 | <10 | <10 | <10 |
| <i>A. niger</i> + <i>Penicillium sp.</i> | 2.3×10^4 | ** | ** | <10 | <10 | <10 |

Non-Ionic Emulsion

Base Formulation

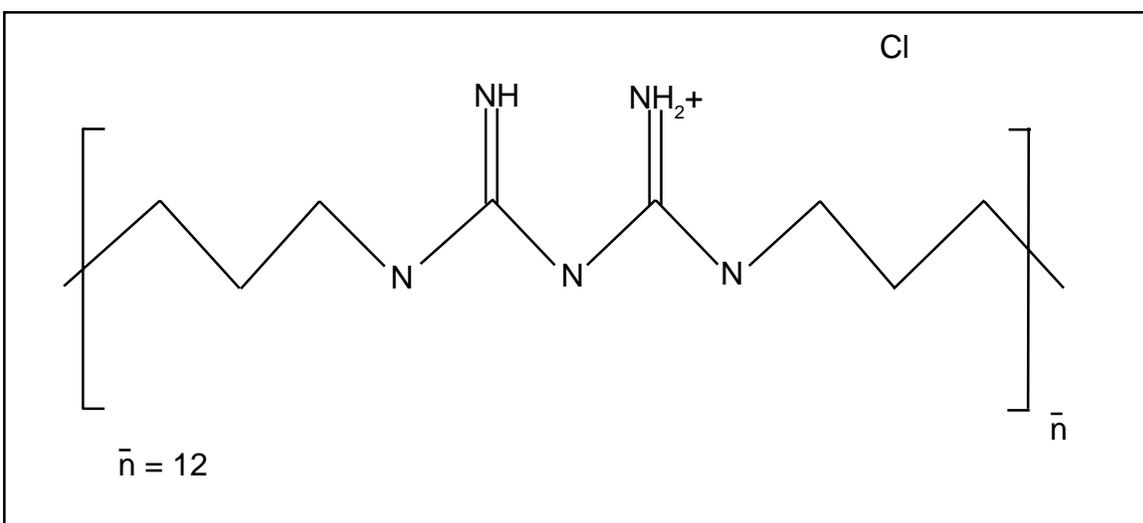
| Phase (A) | % | Phase (B) | % |
|-----------|-------------|-------------|-------|
| DI Water | q.s. to 100 | Brookswax D | 4.50 |
| Glycerin | 5.00 | GMS 165 | 4.00 |
| | | Mineral Oil | 15.00 |

Test Results

| Test Organism | Unpreserved Control | | | Test-9nY DfYgYfj YX! | | |
|---------------------------------------|---------------------|-------------------|-------------------|----------------------|-------------------|-------------------|
| | Initial Challenge | | Re-Challenge | Initial Challenge | | Re-Challenge |
| | 24 hrs. | 28 days | 28 days | 24 hrs. | 28 days | 28 days |
| <i>S. aureus</i> | 5.9×10^6 | *** | *** | <10 | <10 | <10 |
| <i>P. aeruginosa</i> | 4.2×10^6 | 3.3×10^6 | 3.6×10^6 | <10 | <10 | <10 |
| <i>K. pneumoniae</i> | 6.3×10^6 | 6.3×10^5 | 3.4×10^5 | <10 | <10 | <10 |
| <i>C. albicans</i> | 1.2×10^6 | 1.4×10^6 | 3.1×10^5 | <10 | <10 | <10 |
| <i>A. niger + Penicillium sp.</i> | 1.4×10^5 | 1.7×10^5 | 1.9×10^5 | 2.4×10^4 | 1.2×10^2 | 1.0×10^1 |

In the ever-increasing move towards providing a range of effective antimicrobial products, with associated high level of human safety, there has been renewed interest in the development of products based on 9nY DfYgYfj YX! . Product developers value the features and benefits that 9nY DfYgYfj YX! affords them, while recognizing the increasing constraints being placed upon them by alternative antimicrobials. 9nY DfYgYfj YX! is based on the active agent polyaminopropyl biguanide (often referred to as PHMB), as illustrated in Figure 1.

Figure 1. Structure of polyaminopropyl biguanide



Regulatory Information

Polyaminopropyl biguanide is listed in Annex VI of the European Cosmetic Directive 76/768/EEC relating to preservatives for use in cosmetic products, with a maximum authorized use concentration of 1.5% 9nY DfYgYfj YX! . In the U.S. 9nY DfYgYfj YX! is listed by the CTFA (Cosmetic, Toiletry and Fragrance Association) in its Cosmetic Ingredient Dictionary. 9nY DfYgYfj YX! is supported by an extensive toxicology package, which is available upon request. To date 9nY DfYgYfj YX! is approved for use in the United States, Europe, Japan, Australia, Canada, China, Korea and the Philippines. For products formulated in Japan, 9nY DfYgYfj YX! should be used at a 0.5% use level (PHMB active level = 0.1%).

Product Information

Eye Preserved™ is effective against a wide range of both Gram-positive and Gram-negative bacteria, such as *Staphylococcus aureus* and *E. coli* 0157, antibiotic resistant bacteria such as MRSA (methicillin resistant *Staphylococcus aureus*) and VRE (vancomycin resistant *Enterococcus*) and odor-causing bacteria.

As a biguanide, **Eye Preserved™** can be classified according to its mode of action as a membrane-active compound. As such, **Eye Preserved™** is either bacteriostatic or bactericidal depending on the concentration, and unlike antibiotics, there is no risk of organism resistance developing. The anti-microbial effect of **Eye Preserved™** can be described by the following sequence:

- Rapid attraction towards the bacterial surface
- Binding to a receptive site on the surface
- Overcoming bacterial defense/exclusion mechanisms
- Attraction towards the cytoplasmic membrane
- Leakage of low molecular weight cytoplasmic components and inhibition of membrane-bound enzymes
- Extensive disruption of cytoplasmic membrane and leakage of macromolecular components
- Precipitation of cell contents

Recommended additions of **Eye Preserved™** range between 0.2 and 1.5% relative to ready-to-use products, depending on the application and the desired antibacterial effect.

Benefits

- Miscible with water in all proportions
- Remains in the aqueous phase
- Unique biguanide chemistry
 - novel non-specific mode of action
 - no known evidence of development of organism resistance
 - contains no formaldehyde and is not a formaldehyde donor
- Broad spectrum of activity
 - high activity vs. tough Gram (negative) organisms e.g. *Pseudomonas*
- Extensively studied mammalian toxicity
 - low acute toxicity via dermal and oral routes
 - low skin and eye irritancy potential at in-use concentrations
 - low toxicity following long term exposure
 - not teratogenic and shows no reproductive effects when studied over two generations
 - non-genotoxic in a range of studies
 - not considered carcinogenic in humans
- Chemically stable and non-volatile
 - zero VOC
 - easily handled and applied
 - greater than two year storage stability
 - effective and stable over a broad pH range (4-10)
 - active agent heat stable to >140°C
 - UV stable
 - odorless, non-foaming, clear and colorless
- Compatible with a wide range of cosmetic raw materials. As **Eye Preserved™** is cationic, it is compatible with cationic, amphoteric and non-ionic surfactants and is incompatible with strongly anionic systems.

Summary

Eye Preserved™ has been a widely utilized antimicrobial for many years, providing reliable preservation of a diverse range of cosmetic and personal care applications. Although surfactant selection must be considered, various formulation options exist which allow for the development of highly effective consumer products which provide for excellent skin mildness even after repeated application. **Eye Preserved™** offers a gentle and effective option for both preserving and conferring value-added effects to a range of cosmetic and personal care products.

Applications/Use

- Eye make-up removers
- Facial cleansers
- Moisturizing toners
- Skin creams and lotions*
- Hair conditioners
- Impregnated wet wipes

* *note compatibility statement above*

Typical Properties**Chemical Composition**

| | |
|---------------------------|---|
| Active ingredient: | |
| Polyaminopropyl biguanide | 20% |
| Inert Ingredients: | |
| Water | 80% |
| Appearance | Slightly opalescent liquid |
| Color | Colorless to slightly pale yellow |
| Solubility | Miscible with water, ethanol, glycerine and propylene glycol |
| Specific Gravity at 25°C | 1.04 |
| pH | 5.0 - 5.5 |
| Recommended Use Level | 0.2 - 1.5% <i>depending upon formulation</i> (ROW excluding Japan) (0.04 - 0.3% PHMB) up to 0.5% (in Japan) (0.1% PHMB) |